

This module will assist students to understand the financial, societal and moral consequences of a lack of ethics in various aspects of financial services focusing in particular on the Code of Ethics and Standards of Professional Conduct as issued by the CFA Institute. It will help students to analyse financial transactions in an ethical manner and to understand what lies behind the information and the counterparty. The module will cover the key aspects of ethics in financial markets such as corporate legal responsibility, corporate governance, corporate social responsibility, independence, integrity, duties to clients, duties to employers, due diligence, record keeping, disclosure and conflicts of interest. Students will be equipped to use this information to enhance business performance and especially reduce business risks both for themselves and their employer.

<div style="text-align:center;"><p>Curricular information is subject to change</p></div>

What will I learn?

Learning Outcomes:
<p>On successful completion of this module students will:
* Be able to analyse the ethical context of decision making
* Appreciate legal responsibilities of corporations and understand that legal lines can be time varying
* Understand the concepts of corporate governance and corporate social responsibility
* Understand the linkages between your decision making and detrimental effects that may occur to other participants in financial markets or members of society
* Appreciate the crucial aspect of financial independence in information provision
* Understand the concept of integrity and the duties one has to clients and employers
* Understand the difference between reporting and disclosure
* Appreciate the challenges posed by potential conflicts of interest and the need to fully disclose these
</p>

How will I learn?

Student Effort Hours:

Student Effort Type	Hours
Lectures	24
Total	24

Am I eligible to take this module?

<div class="subHeadCB">Requirements, Exclusions and Recommendations</div>

<p>Not applicable to this module.</p>

<div class="subHeadCB">Module Requisites and Incompatibles</div>

Not applicable to this module.

How will I be assessed?

Assessment Strategy

Description	Timing	Open Book Exam	Component Scale	Must Pass Component	% of Final Grade
Continuous Assessment: Continuous Assessment	Unspecified	n/a	Graded	No	30
Presentation: Final Presentation	Unspecified	n/a	Graded	No	70

<div class="row">
<div class="col-sm-6">Carry forward of passed components
Not yet recorded</div>
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Not yet recorded

Assessment feedback

Not yet recorded

Reading List

<div class="pageBreak"><nav class="white-box no-left-arrow zero-top-margin">
<h1 class="printOnly"> UCD Course Search
Ethics in Financial Services (FIN41850) </h1><h3 class="printOnly">Academic Year 2019/2020</h3><p class="printOnly">The information
contained in this document is, to the best of our knowledge, true and accurate at the time of publication, and is solely for informational purposes.
University College Dublin accepts no liability for any loss or damage howsoever arising as a result of use or reliance on this information.</p>
<h4 class="noPrint">Ethics in Financial Services (FIN41850)</h4>
<dl>
<dt>Subject:</dt>
<dd>Finance</dd>
<dt>College:</dt>
<dd>Business</dd>
<dt>School:</dt>
<dd>Business</dd>
<dt>Level:</dt>
<dd>4 (Masters)</dd>
<dt>Credits:</dt>
<dd>5.0</dd>

<dt>Trimester:</dt>
<dd>Summer</dd>
<dt>Module Coordinator:</dt>
<dd>Professor Andreas Hoepner</dd>
<dt>Mode of Delivery:</dt>
<dd>Not yet recorded</dd>
<dt>Internship Module:</dt><dd>No</dd>

<dt>How will I be graded?</dt>
<dd>Pass/Fail (GPA Neutral) </dd>

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<div class="noPrint" style="text-align:center; margin-top:10px;"><button class="menubutton" onclick="window.print()"><i class="fa fa-print fa-fw"> Print
Page</button>
(Google Chrome is recommended when printing
this page)</div>

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